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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614 EXAMINER

UNGAR, SUSAN NMN

ART UNIT PAPER NUMBER

1642

DATE MAILED: 03/08/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/019,513      | 08/06/2002  | Peter Brossart       | WWELL52.001APC      | 8680             |

TITLE OF INVENTION: PEPTIDE FOR TRIGGERING AN IMMUNE REACTION AGAINST TUMOR CELLS

| APPLN. TYPE SMALL ENTITY |    | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|--------------------------|----|-----------|-----------------|------------------|------------|
| nonprovisional           | NO | \$1400    | \$0             | \$1400           | 06/08/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

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- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
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If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
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- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

| maintenance fee notification  | is.   | Block 1, 0) (a)                      | , speen, ing u  |                       | orrespondence address   | , and or (o) martining a sup-   |   |  |
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| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  |   |                                      |   |                       | Note: A certificate of Fee(s) Transmittal. Th papers. Each additiona  | mailing can only be used fo<br>is certificate cannot be used fo<br>al paper, such as an assignme<br>of mailing or transmission. | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, must |  |
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|   | TENS OLSON & BE   | AR LLP                               |   |                       | I hereby certify that the   | his Fee(s) Transmittal is being   | deposited with the United   |  |
| 2040 MAIN STREET  |   |                                      |   |                       | I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. |   |   |  |
| FOURTEENTH FLOOR<br>IRVINE, CA 92614  |   |                                      |   |                       | transmitted to the USP  | TO (571) 273-2885, on the d   | (Depositor's name)  |  |
|   |   |                                      |   |                       |   |   | (Signature)   |  |
|   |   |                                      |   |                       |   |   | (Date)  |  |
| APPLICATION NO.   | FILING DATE   | F                                    | FIRST NAMED INVENTOR  |                       | TOR   | ATTORNEY DOCKET NO.   | CONFIRMATION NO.  |  |
| 10/019,513  | 08/06/2002  |                                      | Peter Bro   | ossart                |   | WWELL52.001APC  | 8680  |  |
| FITLE OF INVENTION: P.  | EPTIDE FOR TRIGGERING                                     | G AN IMMUNE RI                       | EACTION AG  | AINST                 | TUMOR CELLS   |   |   |  |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FE                             | EE  | PU                    | BLICATION FEE   | TOTAL FEE(S) DUE  | DATE DUE  |  |
| nonprovisional  | NO  | \$1400                               |   |                       | \$0   | \$1400<br>1   | 06/08/2006  |  |
| EXAM  |   | ART UNI                              | IT  | CL                    | ASS-SUBCLASS  |   |   |  |
| •   | USAN NMN  | 1642                                 | 2 530-300000  |                       |   |   |   |  |
| <ol> <li>Change of correspondenc<br/>CFR 1.363).</li> </ol>   | e address or indication of "Fe                            | ee Address" (37                      | -   | •                     | he patent front page, li  | •   |   |  |
| Change of correspond  | dence address (or Change of (22) attached.                | Correspondence                       | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to |                       |   |   |   |  |
| _   |   |                                      |   |                       |   |   |   |  |
| "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.   |   |                                      |   |                       |   |   |   |  |
|   | RESIDENCE DATA TO B                                       |                                      |   | -                     | •• /  | · · ·   | <del></del>   |  |
| PLEASE NOTE: Unless recordation as set forth in   | an assignee is identified be a 37 CFR 3.11. Completion of | low, no assignee of this form is NOT | data will appea<br>La substitute fo   | ar on tl<br>or filing | he patent. If an assign<br>g an assignment.   | nee is identified below, the de   | ocument has been filed for  |  |
| (A) NAME OF ASSIGN  | EE  |                                      | (B) RESIDEN   | ICE: (C               | CITY and STATE OR O   | COUNTRY)  |   |  |
|   |   |                                      |   |                       |   |   |   |  |
| Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🗀 Corporation or other private group entity 🚨 Government   |   |                                      |   |                       |   |   |   |  |
| 4a. The following fee(s) are  | enclosed:   |                                      | . Payment of Fe   |                       |   |   |   |  |
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| Publication Fee (No small entity discount permitted)  |   |                                      | ☐ Payment by credit card. Form PTO-2038 is attached.  |                       |   |   |   |  |
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| 5. Change in Entity Status (from status indicated above)  a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).   |   |                                      |   |                       |   |   |   |  |
| The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in  |   |                                      |   |                       |   |   |   |  |
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| Authorized Signature  |   |                                      |   |                       | Date  |   |   |  |
| Typed or printed name Registration No   |   |                                      |   |                       |   |   |   |  |
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| This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) in application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. |   |                                      |   |                       |   |   |   |  |
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| 20995                        | 7590 03/08/2006  |                      | EXAM                           | INER             |
| KNOBBE MAI                   | RTENS OLSON & BI | UNGAR, SUSAN NMN     |                                |                  |
| 2040 MAIN STR                | EET              |                      | ART UNIT                       | PAPER NUMBER     |
| FOURTEENTH<br>IRVINE, CA 926 |                  |                      | 1642<br>DATE MAILED: 03/08/200 | 6                |

# Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.